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BEFORE THE WEST VIRGINIA STATE BOARD OF REGISTRATION FOR PROFESSIONAL ENGINEERS

IN RE: TRAFFIC PLANNING AND DESIGN, INC. C2020-07

CONSENT ORDER

Comes now the West Virginia State Board of Registration for Professional Engineers (hereinafter "the Board") by Bhajan S. Saluja, P.E., its President, for the purpose of agreeing to resolve a Complaint seeking disciplinary action against Traffic Planning and Design, Inc., (hereinafter "Respondent Firm"). As reflected in this document, the parties have reached an agreement concerning the proper disposition of this matter, and the Board, upon approval of this agreement, does hereby **FIND** the following:

1. The matters set forth herein are within the jurisdiction of the Board, which is the state entity with the power and duty to regulate the practice of engineering in the State of West Virginia.
2. Respondent Firm is a corporation organized under the laws of the State of Pennsylvania with its principal place of business in Pottstown, Pennsylvania.
3. Respondent Firm's Certificate of Authorization ("COA") (COA# C02407) was activated on February 7, 2005.
4. In 2007, Respondent Firm did not renew its COA for the licensure year of July 1, 2007 to June 30, 2008. As a result of its non-renewal of its COA, its COA was deemed "Lapsed" as of June 30, 2007, the last date which Respondent Firm held an active COA. Since that date, Respondent Firm's COA has remained "Lapsed" through to the present.
5. In July of 2019, the Board became aware that Respondent Firm, on one occasion since Respondent Firm's COA became "Lapsed," allegedly provided engineering services in the State of West Virginia by providing an engineering opinion memorandum on the internal traffic circulation associated with an existing building located in Putnam County, West Virginia. At the time, Respondent Firm was not actively marketing, seeking or engaging

in work in West Virginia. Respondent Firm was asked by an existing client to review the existing conditions of a plan, solely for the client's own purposes.

6. This resulted in a Board-initiated investigation, which was the basis of the Board's Complaint which set forth the allegation that Respondent Firm had practiced engineering in West Virginia when its COA was in the "Lapsed" status with the Board.

7. The Board initiated Complaint Number C2020-07 at its regular meeting on September 18, 2019, which was filed and served on September 30, 2019.

8. The Board and Respondent Firm agreed to settle this matter in lieu of Respondent Firm filing a formal response.

9. The Board has taken into account the following factors in reaching this settlement: Respondent Firm voluntarily disclosed the project to the Board and cooperated in the resolution of this Complaint; and there was no risk of harm to the public.

10. The Board, in its discretion, will not assess administrative costs although it is within its power to assess such costs and administrative costs were incurred in the investigation, initiation and resolution of this complaint.

CONCLUSIONS OF LAW

11. The Board is the state entity with the power and duty to regulate the practice of engineering in the State of West Virginia, and matters related to such practice are within the jurisdiction of the Board. W. Va. Code §30-13-1 *et seq.*

12. Shawn Glick, as the CFO of Respondent Firm, has the power and authority to make admissions, and otherwise act on behalf of Respondent Firm.

13. West Virginia engineering law allows a firm to practice or offer to practice engineering only upon the issuance of a Certificate of Authorization by the Board. W. Va. Code §30-13-17.

14. A firm on "Lapsed" status is deemed to have an "Expired" COA, and the firm is prohibited from practicing or offering to practice engineering in this state until the COA is reinstated. W. Va. Code R. §7-1-11.4 and W. Va. Code R. §7-1-15.1.

15. The Board may suspend or revoke or refuse to issue, restore or renew a certificate of registration, or place on probation, impose a civil penalty or reprimand any professional engineer who has failed to comply with any of the provisions of this article or any of the rules promulgated under it. W. Va. Code §30-13-21(a)(4).

16. The provisions of W. Va. Code §30-13-21(a) are applicable as appropriate to firms. W.Va. Code §30-13-21(d).

17. The Board is authorized to "assess civil penalties against any person who violates any provision of this article or any rule promulgated by the board for each offense in an amount determined by the board." W. Va. Code §30-13-21(b); see also W. Va. Code §30-13-21(d)(4).

18. Practicing engineering, and offering to practice engineering as defined by W. Va. Code §30-13-3(e), without a valid Certificate of Authorization are actions that may subject a firm to discipline by the Board, including a civil penalty up to Five Thousand Dollars ($5,000.00) for each offense. W. Va. Code R. §7-1-15.1.

19. In determining the amount of a civil penalty to be assessed, the Board may consider such factors as the circumstances leading to the violation; the nature and severity of the violation and the risk of harm to the public; the extent to which the cited person or firm has cooperated with the Board and the Board's investigation; and other matters as may be appropriate. W. Va. Code R. §7-1-15.4.

20. The assessment of administrative costs is within the Board's sole discretion. W. Va. Code §30-13-21(d)(4) and W. Va. Code R. §7-1-14.4.

CONSENT OF RESPONDENT FIRM

Shawn Glick, the CFO of Respondent Firm, by affixing his signature hereon, agrees to the following on behalf of Traffic Planning and Design, Inc.:

21. Respondent Firm has the power and authority to make admissions, and otherwise act on its own behalf, and it makes such admissions herein, knowingly, freely, voluntarily, and without any threat of harm or act of coercion.

22. Respondent Firm acknowledges its right to consult an attorney of its choosing, at its sole expense, and it knowingly, freely, voluntarily, and without any threat of harm or act of coercion waives its right to consult counsel, and will proceed pro se. Further, Respondent Firm is aware of its rights to pursue this matter through appropriate administrative and/or court procedures, including its right to a formal hearing before the Board, and Respondent Firm knowingly, freely and voluntarily waives these rights provided the Board accepts the terms and conditions set forth in this Consent Order.

23. Respondent Firm admits it did not have an active Certificate of Authorization to practice engineering or offer engineering services in the State of West Virginia from October 5, 2007, through to the date of the Complaint, September 18, 2019, during which it provided engineering services in the State of West Virginia, in violation of West Virginia engineering law on one occasion as set forth in the Complaint.

24. Respondent Firm accepts the findings set forth above and consents to the entry of this Consent Order knowingly, freely and voluntarily, and not under duress, restraint or compulsion.

25. Respondent Firm acknowledges that this Consent Order is being executed for the purpose of avoiding further administrative action with respect to the above-styled matter. In this regard, Respondent Firm authorizes the Board to review and examine all investigative file materials concerning Respondent Firm's activities in West Virginia in consideration of this Consent Order.

26. Should the Board not approve this Consent Order, Respondent Firm waives any objections to the Board or any of its members participating in the adjudication of this matter. This paragraph is binding on Respondent Firm even if the Board does not approve this Consent Order.

27. Respondent Firm acknowledges that the Board may reject this proposal, notice and hold a hearing, and, upon adjudication, impose such sanctions of a disciplinary nature as it deems appropriate.

28. If the Board does reject this proposal, any admissions made herein by Respondent Firm are deemed null and void.

29. Respondent Firm understands that this Consent Order constitutes a full and final settlement of this matter, and nothing in this Consent Order or the circumstances giving rise to the same may be the subject of any appeal or other civil or administrative actions except an action by the Board to enforce the terms of this Consent Order, but that nothing in this Consent Order prohibits the Board from considering this disciplinary action or the circumstances giving rise to same with regard to any future disciplinary matter involving Respondent Firm which may be before this Board.

30. Respondent Firm understands that the Complaint and this Consent Order are public records which, together with other public documents in the file, must be made available upon legal request in accordance with the State's Freedom of Information Act.

31. Respondent Firm agrees that the sum and substance of the Complaint and this agreement in part or in their entirety will be set forth in Board publications and on the Board's website, as well as other appropriate placements, including the non-public enforcement exchange database administered by the National Council of Examiners for Engineering and Surveying (NCEES).

32. Respondent Firm acknowledges that non-compliance with this Consent Order may result in the rescission of this agreement, the reinstatement of the Complaint, the summary revocation, non-renewal or non-reinstatement of any Certificate of Authorization issued to

Respondent Firm by the Board, and the addition of any other charges which may arise or ensue from Respondent Firm's non-compliance with this Consent Order.

33. Respondent Firm acknowledges that proof of any material misstatement or misrepresentation made in connection with this matter will result in the rescission of this agreement, the reinstatement of the Complaint, the summary revocation, non-renewal or non-reinstatement of any Certificate of Authorization issued to Respondent Firm by the Board, and the addition of any other charges which may arise or ensue from providing false information to the Board in violation of West Virginia engineering law.

ORDER

1. On the basis of the foregoing, and upon entry of this Consent Order, the Board hereby **ORDERS** that this Consent Order shall serve as settlement of Complaint Number C2020-07 pursuant to West Virginia Code §30-13-22(b).

2. The Board **ORDERS** Respondent Firm to pay a civil penalty in the amount of Two Hundred Fifty Dollars ($250.00) for the violation of practicing engineering without an active Certificate of Authorization. The total civil penalty is Two Hundred and Fifty Dollars ($250.00).

3. The civil penalty imposed herein totaling Two Hundred and Fifty Dollars ($250.00) must be paid within thirty (30) days of the date of entry set forth below, such amount to be made payable to the "**W. Va. P.E. Board**" for transfer to the general fund of the State of West Virginia upon receipt.

4. The sum and substance of the Complaint and this agreement in part or in their entirety shall be set forth in Board publications and on the Board's website, as well as other appropriate placements, including the non-public enforcement exchange database administered by the National Council of Examiners for Engineering and Surveying (NCEES).

5. Any violation of the terms of this Consent Order shall be immediate cause for further disciplinary action by the Board.

6. If the civil penalty imposed herein is not paid within thirty (30) days of the date of entry set forth below, then Respondent Firm's COA, if any, is hereby **ORDERED REVOKED**. Said revoked COA may be restored once the civil penalty is paid, and Respondent Firm pays the appropriate fees, and is otherwise in good standing with the Board. West Virginia Code §30-13-21(d)(1).

7. The Board **ORDERS** Respondent Firm to refrain from offering engineering services and/or practicing engineering in the State of West Virginia unless its West Virginia COA has been reinstated to "Active" status.

8. This Consent Order may be summarily enforced in the Circuit Court of Kanawha County, West Virginia, without further notice to Respondent Firm upon application by the Board for the entry of a Judgment Order for the total amount of payment agreed to herein of Two Hundred and Fifty Dollars ($250.00) together with pre-judgment interest from the date of the Board President's signature hereon, post-judgment interest from the date of entry of the Judgment Order, and all costs of any enforcement action(s), which judgment shall be fully executable in accordance with applicable law.

9. This matter shall be closed upon execution of this Consent Order by both parties and the full payment of the civil penalty agreed to herein, except as to the provisions set forth in paragraph 6, above, regarding revocation of Respondent Firm's COA, and its effects shall survive the closure of this Consent Order.

10. This Consent Order constitutes a full and final settlement of this matter, and nothing in this Consent Order or the circumstances giving rise to the same may be the subject of any appeal or other civil or administrative actions except an action by the Board to enforce the terms of this Consent Order. This shall not prohibit the Board from considering this disciplinary action or the circumstances giving rise to the same with regard to any future disciplinary matter involving Respondent Firm which may come before this Board.

11. This Consent Order relates solely to matters set forth in West Virginia engineering law and does not evidence compliance with any other laws of the State of West Virginia or its political subdivisions, nor should any such compliance be implied.

AGREED TO BY:

RESPONDENT FIRM

Shawn Glick — 12/6/2019

TRAFFIC PLANNING AND DESIGN, INC. **DATE**
By: SHAWN GLICK, ITS CFO

ENTERED into the records of the West Virginia State Board of Registration for Professional Engineers this 16 day of December, 2019.

WEST VIRGINIA STATE BOARD OF REGISTRATION FOR PROFESSIONAL ENGINEERS

By: Bhajan S. Saluja

BHAJAN S. SALUJA, P.E.
BOARD PRESIDENT